

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

HINDERKS et al.

Serial No. 09/988,020

Filed: November 16, 2001

Attention: Application Branch

Atty. Dkt. 3593-23

Date: January 16, 2002

For: METHOD AND APPARATUS FOR INJECTION OF IP MULTICAST CONTENT
INTO AN ATM DSL NETWORK

Assistant Commissioner for Patents
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR
☐ Signed Declaration plus attached copy of originally filed specification/drawings.
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.
☒ Record the attached assignment and return to the undersigned.
☐ Attached is a Power of Attorney.
☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
|--------------------|---------|----------------------|

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.
☐ Certified copy(ies) filed on _____ in prior application no. _____, filed _____.
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.
☐ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid
 Sequence Submission; ☐ Other:

Fees due are calculated below:

Basic filing fee					\$	740.00
Total Effective claims	37	- 20 =	17	x \$	18.00	\$ 306.00
Independent claims	6	- 3 =	3	x \$	84.00	\$ 252.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)						\$ 0.00
FILING FEE						\$ 1,298.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)						\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
English translation of specification and claims (\$130.00)						\$ 0.00
FIRST SUBTOTAL						\$ 1,428.00
If "small entity," enter half (½) of subtotal and subtract						-\$ 0.00
SECOND SUBTOTAL						\$ 1,428.00
Assignment Recording Fee (\$40.00)						\$ 40.00
TOTAL FEE DUE						\$ 1,468.00
Check enclosed (Pre-Bill)*						\$
Check enclosed (non Pre-Bill)*						\$ 1468.00
TOTAL FEE ENCLOSED						\$ 1468.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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By Atty: William G. Niessen, Reg. No. 29,683
Registered Patent Agent

Signature: _____

*For N&V internal accounting purposes

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,020	11/16/2001	Larry W. Hinderks	3593-23

CONFIRMATION NO. 7679

FORMALITIES LETTER



OC00000007142124

NIXON & VANDERHYE P.C.
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Arlington, VA 22201

Date Mailed: 11/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/22/2002 SDIRETA1 00000087 09988020

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	306.00 OP
04 FC:102	252.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$558.
 - \$306 for 17 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1428.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*

SV
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE